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Attorney Docket No.: N0377/7005(ERG)

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	N0377/7005(ERG)
	First Named Inventor or Application Identifier	
	Bruce Compton et al.	
	Express Mail Label No.	EL844536315US
	Date of Deposit	November 29, 2001

11/29/01  
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APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>	ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.  <input checked="" type="checkbox"/> Statement filed in prior application, status still proper and desired.	9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Specification -Total pages 75 69 - pages description 1 - pages abstract 5 - pages claims 42 - Total claims	<b>ACCOMPANYING APPLICATION PARTS</b>
4. <input type="checkbox"/> Drawing(s) (35 USC 113)  Total sheets <input type="checkbox"/> Informal <input type="checkbox"/> Formal - Total drawings	10. <input type="checkbox"/> Assignment Papers/cover sheet & documents(s)
5. <input checked="" type="checkbox"/> Oath or Declaration 3 - Total pages a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) [Note Box 6 below] i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
6. <input checked="" type="checkbox"/> Incorporation by Reference (usable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19.	12. <input type="checkbox"/> English Translation of Document (if applicable)
7. <input checked="" type="checkbox"/> Application Data Sheet, See 37 CFR 1.76	13. <input type="checkbox"/> Information Disclosure Statement/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
	14. <input type="checkbox"/> Preliminary Amendment
	15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii)
	18. <input checked="" type="checkbox"/> Other: <u>Copy of Petition for a Three Month Extension of</u> <u>Time and check for \$460.00 (for parent application)</u>

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/055,560, filed on April 6, 1998; entitled ORAL DELIVERY FORMULATION and now pending.

☐ Cancel in this application claims \_\_\_\_\_ before calculating the filing fee.

☒ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

--This application is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/055,560, filed on April 6, 1998, entitled ORAL DELIVERY FORMULATION and now pending.--

Prior application information: Examiner R. Harrison Group Art Unit:1619

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

OR

ATTORNEY'S NAME	Edward R. Gates, Reg. No. 31,616				
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20. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Edward R. Gates
SIGNATURE	
DATE	November 29, 2001

Express Mail Label No. EL844536315US  
Date of Deposit: November 29, 2001

ATTORNEY'S DOCKET NO. N0377/7003(ERG)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: B. Compton et al.  
Serial No: 09/055,560  
Filed: April 6, 1998  
For: ORAL DELIVERY FORMULATION  
Examiner: R. Harrison  
Art Unit: 1619

BOX PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

TRANSMITTAL

Transmitted herewith are the following documents:

- ☒ Petition for a Three Month Extension of Time
- ☒ Check in the amount of \$460.00
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts

Respectfully Submitted,



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Docket No. N0377/7003(ERG)  
November 29, 2001  
xNovember 29, 2001

The PTO did not receive the following  
listed item(s) \$460.00 check